

SMIFS CAPITAL MARKETS LIMITED

January 03, 2022

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata -700 001

Dear Sir,

Sub: Regulation 27 of the Listing Agreement - Corporate Governance

Enclosed please find the quarterly compliance report on Corporate Governance for the quarter ended December 31, 2021 for your kind reference and record.

Please acknowledge.

Yours faithfully, For SMIFS CAPITAL MARKETS LIMITED

(Poonam Bhatia)

Buana

Company Secretary-cum-Senior Manager Legal

Encl: a/a





General information abou	ıt company
Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	INE641A01013
Name of the entity	SMIFS CAPITAL MARKETS LIMITED
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Reporting Quarter	Quarterly
Date of Report	31-12-2021
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Enter the quarter ended date only





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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA) COMPANY SECRETARY CUM-SENIOR MANAGER LEGAI

Nate	Please enter DIN After enter	ng DIN. Name of Committee memb	Annexure 1 II. Composition of Committee Disclosure of notes o ers and Category 1 of Directors shall be prefilled au	on composition of committees explanatory	17 It III Add Notes: 1	For this quarter kindly note that. Date of Appointment and I mandatorily filled for every C. Date of Appointment can that are the same of Cessation must be September 30 2021.	Date of Cessation (if applic ommittee. De any day upto September	r 30, 2021.
	lit Committee Details	e describer y de e		c Audit Committee has a Regular Chairperson	Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
-	00170646 00027642 07898390	SANTOSH KUMAR MUKHERJEE UTSAV PAREKH PUSHPA MISHRA	Non-Executive - Independent Director Non-Executive - Non Independent Director Non-Executive - Independent Director	Chairperson Member Member	01-04-2019 01-04-2018 25-09-2017			
7 8								

	and program type year on a first than the state of the control of		Whether the Nomination and re	Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
3.	00170813	RAMESH MAHESHWARI	Non-Executive - Independent Director	Chairperson	01-04-2019		
		SANTOSE KULTAR MUKHERIFE	Non-Executive Independent Director	: Membei	r1-J4 2019		
3	07898390	PUSTIPA MISHRA	Non-Executive - Independent Director	Member	§S-09-2017		
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Note: Please enter DIN After entering: 4, Name of Committee members and Category 1 of Directors shall be prefile: homatically Stakeholders Relationship Con- littles

(POONAM BHATIA) COMPANY SECRETARY CUM-SENIOR MANAGER LEGAL

					Date of Appointment	Date of Cessation	Remarks
		Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment		
Sr	DIN Number	<u> </u>			01-04-2019		
1	00170646	SANTOSH KUMAR MUKHERIFE	Non-Executive - Independent Director	Chairperson	01-04-2018		le de la companya de
	00170502	KISHOR SHAH	Executive Director	Member	25-09-2017		
	I '		Non-Executive - Independent Director	Member			·
3	07898390	PUSHPA MISHRA			}		ļ
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To DIN Number Name of Committee members Category 1 of directors Category 2 of directors Date of Appointment Date of Cessation Remarks Certified True For SMIFS CAPITAL MARKETS Physical Properties of Category 2 of directors Date of Appointment Date of Cessation Remarks Certified True For SMIFS CAPITAL MARKETS					The state of the s		
Certified True	1	Name of Committee members	Category 1 of directors	Date of Appointment			
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(POONAM BHATIA) COMPANY SECRETARY CUM-SENIOR MANAGER LEGAI

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	DIN Number	Name of Committee members	Category 1 of directors				Remarks
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Other Committee								
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks		
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			Annexure 1			
111.	Meeting of Board of Directors					
	Disclosure of notes on meeting of board of direc	tors explanatory	AGC NOT	ès 	4	
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (other than Independent Director)	No. of independent Directors attending the meeting*
-	⊈Addi			Agricultural (MA) (MA) (A Agricultura (A Agricultur		Г
1	12-08-2021		aka ka kanga anggapaga paggapaga ka sa kana ang manggapaga ka sa kana ang manggapaga ka sa kana ang manggapaga	Yes	6	3
2	02-11-2021	81		Yes	6	3
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* to be filled in only for the current quarter meetings

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(POONAM BHATIA) COMPANY SECRETARY CUM-SENIOR MANAGER LEGAL

			- de -	Annexure 1				
	here we will be the second of the second and the second of	and the second s	and the same of th	IV. Meeting of Cor	nmittees			
	Disclosure of notes on meeting of committees explanatory							
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reson for not providing date	Whether requirement of	Number of Directors present* (other than Independent Director)	No. of Independent Directors attending the meeting*
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1	Audit Committee	12-08-2021				Yes	3	2
2	Audit Committee	02-11-2021	81			Yes	3	2

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

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^{*} to be filled in only for the current quarter meetings



	Annexure 1						
	/ Related Party Transactions						
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.				
1	Whether prior approval of audit committee obtained	Yes					
2	Whether shareholder approval obtained for material RPT	NA					
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes					
	Disclosure of notes on related party transactions Add Notes						
	Disclosure of notes of material transaction with related party						

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL



	Annexure 1	
V	VI. Affirmations	Compliance status (Yes/No
Sr	Subject	
	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regu	
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirement a. Audit Committee	ts) Regulations, 2015 Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements). Nomination & remuneration committee	ts) Regulations, 2015. Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements. Stakeholders relationship committee	its) Regulations, 2015. Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirement. A Risk management committee (applicable to the top 500 listed entities)	
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEB disclosure requirements) Regulations, 2015.	
7	The meetings of the board of directors and the above committees have been conducted in the manner as spoolingations and disclosure requirements) Regulations, 2015.	
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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		Subject	Compliance status
	Sr		MRS. POONAM BHATIA
	1	Name of signatory	Company Secretary and Compliance Officer
	2	Designation	1

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For SMIFS CAPITAL MARKETS LIMITED

PALLULA
(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL





	Signatory Details
Name of signatory	Age continued from the first of
Designation of person	MRS. POONAM BHATIA
Place	Company Secretary and Compliance Officer
Dave	KOLKATA
Date	03-01-2022

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY : ECRETARY
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